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First Named  
Inventor : Kuldeep K. Dhar

Appln. No. : 09/970,312

Filed : October 2, 2001

Title : OBJECT BASED WORKFLOW SYSTEM AND  
METHOD

Docket No. : I194.12-0002

Group Art Unit: 3623

Examiner: Deshpande, Kalyan K.

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I CERTIFY THAT THIS PAPER IS BEING SENT BY U.S. MAIL,  
FIRST CLASS, TO THE COMMISSIONER FOR PATENTS,  
ALEXANDRIA, VA 22313, THIS 2<sup>nd</sup> DAY OF OCTOBER, 2006.

  
PATENT ATTORNEY

The enclosed PTO Form-1449 lists patents and publications submitted pursuant to 37 C.F.R. 1.97.

Copies of the patents or publications are enclosed as necessary.

#### TIME OF FILING

The Information Disclosure Statement is being filed:

1. X with the application or within three months of the filing date of a national application (other than a continued prosecution application under 37 C.F.R. 1.53(d)) or date of entry into the national stage of an international application or, to the best of the undersigned's knowledge, before the mailing date of a first Office action on the merits or a first office action after the filing of a request for continued examination under 37 C.F.R. 1.114, whichever event occurs last. In accordance with 37 C.F.R. 1.97(b), no certification or fee is required.

Respectfully submitted,

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FORM PTO-1449	Atty. Docket No.: 1194.12-0002	Application No.: 09/970,312
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor: Kuldeep Dhar	
	Filing Date: October 2, 2001	Group Art: 3623

## U.S. PATENT DOCUMENTS

Examiner Initials	Document No.	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents
AA	5,930,776	07-27-1999	Dykstra, et al.
AB	5,940,812	08-17-1999	Tengel, et al.
AC	6,014,645	01-11-2000	Cunningham, et al.
AD			
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AK			

## FOREIGN PATENT DOCUMENTS

	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Translation Yes No
AL	EP 0 840 244 A1	05-06-1998	Bennett, et al.	n/a
AM	WO 99/59084	11-18-1999	Lebda, et al.	n/a
AN				

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	Schwarze, et al., "Computer Supported Determination of Bank Credit Conditions," from Proceedings of the IEEE/IAFE 1996 Conference on Computational Intelligence for Financial Engineering (CIFER) (Cat. No. 96TH8177), pp. 83-89 (1996)
AP	
AQ	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.